



I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231-0001 on 8/5/02

PERLEGEN SCIENCES, INC.

By: James J. Reisinger

#1645
#912
8-28-02
P. 2.

RECEIVED
AUG 12 2002
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PATIL, NILA et al

Application No.: 09/768,936

Filed: January 23, 2001

For: METHODS FOR REDUCING
COMPLEXITY OF NULCEIC ACID
SAMPLES

Examiner: A. Chakrabarti

Art Unit: 1643

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 AND §1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on the attached form PTO-1449 is being called to the attention of the Examiner. Copies of the reference listed are enclosed herewith.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that a fee of \$180.00 is required for submission of this statement, since it is being submitted after the first Office Action. If additional fees are

no reference
attached

09/09/2002 09:55:11 00000077 502043 09768936
H3 00 091
160.00 CH
921:31 10
FC:126

required, the Commissioner is authorized to charge such fee to Deposit Account No. 502043. Please charge any additional fees or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,



Lauren L. Stevens, Ph.D.
Reg. No. 36,691

Perlegen Sciences, Inc.
2021 Stierlin Court
Mountain View, CA 94043
Tel: (650) 625-4555
Fax: (650) 625-4574



PTO/SB/42 (01-00)
Approved for use through 10/31/2002. OMB 0635-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Sheet of

37 CFR 1.501 INFORMATION DISCLOSURE CITATION IN A PATENT (Use several sheets if necessary)	Docket Number (Optional) 1004U-1	Serial Number 09/768,936
	Applicant Patil, N., et al.	
	Filing Date 01/23/01	Group Art Unit 1634

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AC	6 3 0 0 0 6 3	10/9/01	Lipshutz et al.			5/8/97
	6 2 2 8 5 7 5	05/28/01	Gingeras et al.			
	6 0 4 0 1 3 8	3/21/00	Lockhart et al.			9/15/95
	5 8 3 7 8 3 2	11/17/98	Chee et al.			5/16/95
	5 8 3 1 0 7 0	11/3/98	Pease et al.			4/19/96
	5 6 7 7 1 9 5	10/14/97	Winkler et al.			11/20/92
	5 6 3 1 7 3 4	5/20/97	Stern et al.			2/10/94
	5 5 9 3 8 3 9	1/14/97	Hubbell et al.			6/2/95
	5 5 7 8 8 3 2	11/26/96	Trulson et al.			9/2/94
	5 5 7 1 6 3 9	11/5/96	Hubbell et al.			5/24/94
	5 5 1 0 2 7 0	4/23/96	Fodor et al.			9/30/92
	5 4 4 5 9 3 4	8/29/95	Fodor et al.			9/30/92
	5 4 2 4 1 8 6	6/13/95	Fodor et al.			12/6/91
	5 4 1 2 0 8 7	05/02/95	McGall et al.			
	5 4 0 5 7 8 3	04/11/95	Pirring et al.			3/12/92
	5 3 8 4 2 6 1	1/24/95	Winkler et al.			11/22/91
	5 2 5 2 7 4 3	10/12/93	Barrett et al.			11/13/90
	5 1 4 3 8 5 4	9/1/92	Pirring et al.			3/7/90

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	0 9 5 0 7 2 0	10/20/99	EP			X	
	9 8 5 6 9 5 4	12/17/98	WIPO			X	
	9 7 2 9 2 1 2	8/14/97	WIPO			X	
	9 7 2 7 3 1 7	7/31/97	WIPO			X	
	9 7 1 0 3 6 5	03/20/97	WIPO			X	
	0 7 1 7 1 1 3	6/19/96	EP			X	
✓	9 5 1 1 9 9 5	5/4/95	WIPO			X	
AC	9 3 0 9 6 6 8	5/27/93	WIPO			X	

RECEIVED
AUG 14 2002
FBI CENTER 1600/2900



9	2	1	0	0	9	2	6/25/92	WIPO			X	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)												
AC ↓ VAC	Barrett, M. et al., "Genotypic analysis of multiple loci in somatic cells by whole genome amplification" <i>Nucleic Acids Research</i> 23 , 3488-3492 (1995).											
	Stemmer, W. et al., "Single-step assembly of a gene and entire plasmid from large numbers of oligodeoxyribonucleotides" <i>Gene</i> 164 , 49-53 (1995).											
	Wu, D., Wallace, B. "The Ligation Amplification Reaction (LAR)" <i>Genomics</i> 4 , 560-569 (1989).											
	Landegren, U. et al., "A Ligase-Mediated Gene Detection Technique" <i>Science</i> 241 , 1077-1080 (1988).											
	Kwoh, D.Y. et al., "Transcription-based amplification system..." <i>PNAS USA</i> 86 , 1173-1177 (1989).											
	Guatelli, J., et al., "Isothermal, in vitro amplification of nucleic acids by a multienzyme reaction modeled after retroviral replication" <i>PNAS USA</i> 87 , 1874-1878 (1990).											
EXAMINER <i>Arum Kr. Chakrabarti</i> DATE CONSIDERED <i>1/15/03</i>												

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PTO/SB/42 (10-96)